



**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2023**  
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading),  
Regulations, 2015)

I, Anurag Chauhan, Compliance Officer of Max Life Insurance Company Limited ("**Company**"), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
- \*3. all the UPSI disseminated in the previous quarter have been captured in the Database
- \*4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

*\*Given that our equity shares are not listed and only our non-convertible debentures (NCDs) (face value @ INR 10.00 lacs each) are listed on NSE (held by 13 nos. of debenture holders only as on 30.09.2023), we did not have any unpublished price sensitive information, which was likely to materially affect the price of our NCDs.*

I also confirm that the Company was required to capture Nil number of events during the quarter ended on September 30, 2023 and has captured Nil number of the said required events.

I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Nil

Thanking You.

Yours Sincerely,  
For **Max Life Insurance Company Limited**

**Anurag Chauhan**  
**Compliance Officer**

Date: October 9, 2023  
Place: Gurugram

**MAX LIFE INSURANCE CO. LTD.**

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